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I, JUDITH MARGARET ATKINSON, B.A., M.I.T.I. declare

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2. That I am well acquainted with the French and English languages.
3. That the attached is a true translation into the English language of the Request and Specification of International Patent Application No. PCT/FR2003/003276 as filed.
4. That all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardise the validity of the patent application in the United States of America or any patent issuing thereon.

Declared this 3rd day of March, 2005

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**NEW 2,3-DIHYDRO-4(1H)-PYRIDONE COMPOUNDS,  
A PROCESS FOR THEIR PREPARATION  
AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM**

The present invention relates to new 2,3-dihydro-4(1H)-pyridone compounds, to a process  
5 for their preparation, to pharmaceutical compositions containing them and to their use as  
facilitators of memory and cognition and as antalgic agents.

Ageing of the population due to increased life expectancy has brought with it a major  
increase in cognitive disorders associated with normal cerebral ageing and with  
pathological cerebral ageing occurring in the course of neurodegenerative diseases such as,  
10 for example, Alzheimer's disease.

The majority of substances used today in treating cognitive disorders associated with  
ageing act by facilitating the central cholinergic systems – either directly, as in the case of  
acetylcholinesterase inhibitors (tacrine, donepezil) and cholinergic agonists (nefiracetam),  
or indirectly, as in the case of nootropic agents (piracetam, pramiracetam) and cerebral  
15 vasodilators (vinpocetine).

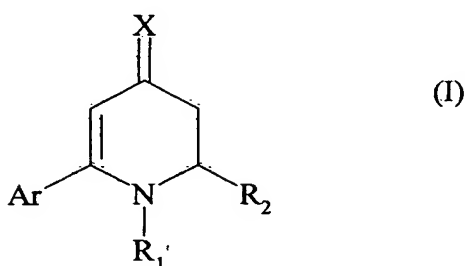
Besides their cognitive properties, substances acting directly on the central cholinergic  
systems often have antalgic properties but also have hypothermic properties, which can be  
undesirable.

It has therefore been especially valuable to synthesise new compounds that are capable of  
20 opposing the cognitive disorders associated with ageing and/or of improving cognitive  
processes and that can possess antalgic properties without having hypothermic activity.

4-Hydroxy- or 4-oxo-substituted 1-aza-2-alkyl-6-aryl-cycloalkanes and 1-aza-2-alkyl-6-  
aryl-cycloalkenes have already been described in the literature (J. Org. Chem. 1988, 53,  
2426; Liebigs Ann. Chem. 1986, 11, 1823; Synlett 1993, 2, 657; Tet. Lett. 1998, 39(3/4),  
25 217), but no pharmacological activity has been described for those compounds. Patent

application EP 0119087 describes 1-aza-2-alkyl-6-aryl-cycloalkane compounds for use as antalgic agents.

More specifically, the present invention relates to compounds of formula (I):



wherein:

- R<sub>1</sub> represents a hydrogen atom or an aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl group in which the alkyl moiety may be linear or branched, a linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl group, a linear or branched (C<sub>1</sub>-C<sub>6</sub>)acyl group, a linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkoxycarbonyl group, an aryl(C<sub>1</sub>-C<sub>6</sub>)-alkoxycarbonyl group in which the alkoxy moiety may be linear or branched, or a trifluoroacetyl group,
- R<sub>2</sub> represents a linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl group,
- X represents an oxygen atom or NOR<sub>3</sub> wherein:
  - \* R<sub>3</sub> represents a hydrogen atom or a linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl group optionally substituted by one or more identical or different groups selected from hydroxy, amino (optionally substituted by one or two linear or branched (C<sub>1</sub>-C<sub>6</sub>)-alkyl groups) and linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkoxy,
- Ar represents an aryl group or a heteroaryl group,

to their enantiomers, diastereoisomers and also to addition salts thereof with a pharmaceutically acceptable acid,

it being understood that aryl is understood to be a phenyl, biphenyl, naphthyl or tetrahydronaphthyl group, each of those groups being optionally substituted by one or more identical or different groups selected from halogen, linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl, hydroxy, linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkoxy, trihalomethyl, nitro and amino (optionally substituted by one or more linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl groups),

and a heteroaryl group is understood to be an aromatic, mono- or bi-cyclic, 5- to 12-membered group containing one, two or three hetero atoms selected from oxygen, nitrogen and sulphur, it being understood that the heteroaryl group may be optionally substituted by one or more identical or different groups selected from halogen, linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl, hydroxy, linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkoxy, trihalomethyl, nitro and amino (optionally substituted by one or more linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl groups). Among the heteroaryl groups there may be mentioned, without implying any limitation, thienyl, pyridyl, furyl, pyrrolyl, imidazolyl, oxazolyl, isoxazolyl, thiazolyl and isothiazolyl groups.

Among the pharmaceutically acceptable acids there may be mentioned, without implying any limitation, hydrochloric acid, hydrobromic acid, sulphuric acid, phosphonic acid, acetic acid, trifluoroacetic acid, lactic acid, pyruvic acid, malonic acid, succinic acid, glutaric acid, fumaric acid, tartaric acid, maleic acid, citric acid, ascorbic acid, oxalic acid, methanesulphonic acid, benzenesulphonic acid, camphoric acid etc..

The preferred compounds of formula (I) are those wherein the group X represents an oxygen atom.

The group R<sub>1</sub> to which preference is given in accordance with the invention is a hydrogen atom or a linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkoxycarbonyl group.

The term aryl used in respect of the group Ar as defined for formula (I) is preferably an optionally substituted phenyl group.

The term aryl used in respect of the group Ar as defined for formula (I) is more preferably a substituted phenyl group.

The term heteroaryl used in respect of the group Ar as defined for formula (I) is preferably an optionally substituted thienyl group or an optionally substituted pyridyl group.

- 5 The invention relates more especially to the compounds of formula (I) which are:
- tert-butyl 2-methyl-4-oxo-6-(2-thienyl)-3,4-dihydro-1(2*H*)-pyridinecarboxylate
  - 2-methyl-6-(2-thienyl)-2,3-dihydro-4(1*H*)-pyridone
  - tert-butyl 2-methyl-4-oxo-6-phenyl-3,4-dihydro-1(2*H*)-pyridinecarboxylate
  - 2-methyl-6-phenyl-2,3-dihydro-4(1*H*)-pyridone
  - 10 • tert-butyl 6-(3-chlorophenyl)-2-methyl-4-oxo-3,4-dihydro-1(2*H*)-pyridine-carboxylate
  - 6-(3-chlorophenyl)-2-methyl-2,3-dihydro-4(1*H*)-pyridone
  - tert-butyl 6-(6-chloro-3-pyridyl)-2-methyl-4-oxo-3,4-dihydro-1(2*H*)-pyridine-carboxylate
  - 15 • 6-(6-chloro-3-pyridyl)-2-methyl-2,3-dihydro-4(1*H*)-pyridone.

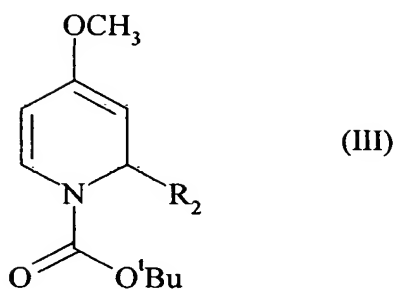
The enantiomers, diastereoisomers and also the addition salts with a pharmaceutically acceptable acid of the preferred compounds form an integral part of the invention.

The invention relates also to a process for the preparation of compounds of formula (I), characterised in that 4-methoxypyridine is reacted in succession with phenyl chloroformate,  
20 with an organomagnesium compound of formula (II):



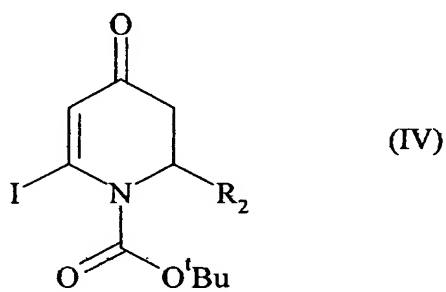
wherein  $R_2$  is as defined for formula (I),

and with potassium tert-butoxide to yield a compound of formula (III):



wherein  $R_2$  is as defined hereinbefore,

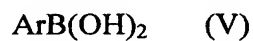
which compound of formula (III) is reacted with butyllithium and with iodine to yield an iodated compound of formula (IV):



5

wherein  $R_2$  is as defined hereinbefore,

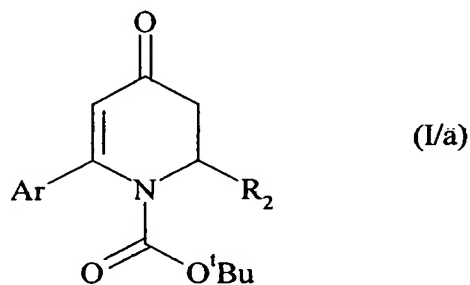
which compound of formula (IV) is reacted, in the presence of tetrakis(triphenylphosphine)palladium(0), with a boronic acid of formula (V):



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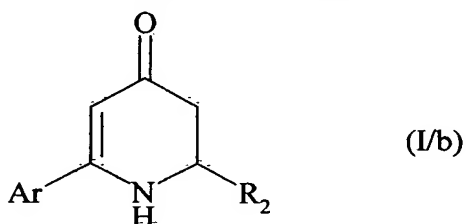
wherein Ar is as defined for formula (I),

to yield a compound of formula (I/a), which is a particular case of the compounds of formula (I):



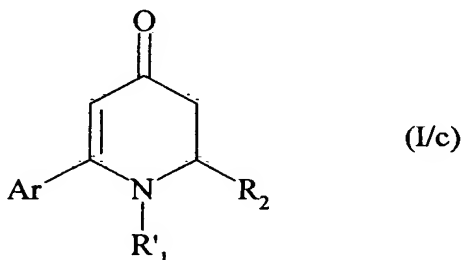
wherein Ar and R<sub>2</sub> are as defined hereinbefore,

in which compound of formula (I/a) the amine function is optionally deprotected according to conventional techniques of organic synthesis to yield a compound of formula (I/b), which is a particular case of the compounds of formula (I):



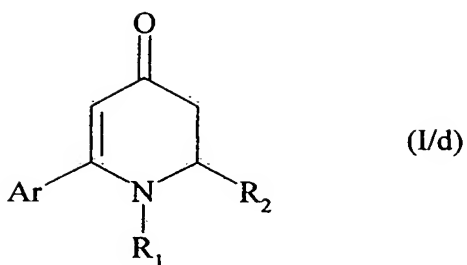
wherein R<sub>2</sub> and Ar are as defined hereinbefore,

which compound of formula (I/b) is optionally reacted with a compound of the formula R'<sub>1</sub>Y wherein R'<sub>1</sub> represents an aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl group in which the alkyl moiety may be linear or branched, a linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkyl group, a linear or branched (C<sub>1</sub>-C<sub>6</sub>)acyl group, a linear or branched (C<sub>1</sub>-C<sub>6</sub>)alkoxycarbonyl group, an aryl(C<sub>1</sub>-C<sub>6</sub>)-alkoxycarbonyl group in which the alkoxy moiety may be linear or branched, or a trifluoroacetyl group, and Y represents a leaving group, to yield a compound of formula (I/c), which is a particular case of the compounds of formula (I):



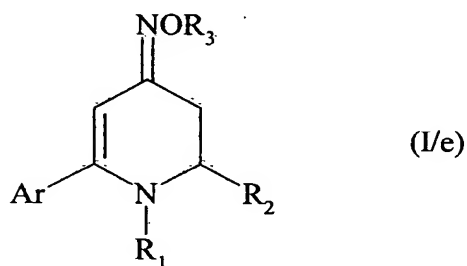
wherein Ar, R'<sub>1</sub> and R<sub>2</sub> are as defined hereinbefore,

the compounds of formulae (I/b) and (I/c) forming the compounds of formula (I/d):



wherein Ar, R<sub>1</sub> and R<sub>2</sub> are as defined hereinbefore,

which compounds of formula (I/d) are optionally reacted with a compound of the formula H<sub>2</sub>N-OR<sub>3</sub> wherein R<sub>3</sub> is as defined for formula (I), to yield a compound of formula (I/e), which is a particular case of the compounds of formula (I):



wherein Ar, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are as defined hereinbefore,

the compounds of formulae (I/a) to (I/e) constituting the totality of the compounds of formula (I), which are purified, where necessary, according to conventional purification techniques, are separated, if desired, into their isomers according to conventional separation techniques and are converted, if desired, into their addition salts with a pharmaceutically acceptable acid.

In addition to the fact that the compounds of the present invention are new, they exhibit properties facilitating cognitive processes and antalgic properties, rendering them of use in the treatment of cognitive deficiencies associated with cerebral ageing and with neurodegenerative pathologies, such as Alzheimer's disease, Parkinson's disease, Pick's disease, Korsakoff's disease and frontal lobe and subcortical dementias and in the treatment of pain.



The invention relates also to pharmaceutical compositions comprising as active ingredient a compound of formula (I) together with one or more appropriate, inert, non-toxic excipients. Among the pharmaceutical compositions according to the invention there may be mentioned more especially those that are suitable for oral, parenteral (intravenous or subcutaneous) and nasal administration, tablets or dragées, sublingual tablets, gelatin capsules, lozenges, suppositories, creams, ointments, dermal gels, injectable preparations, drinkable suspensions etc..

The dosage used can be adapted according to the nature and the severity of the disorder, the administration route and the age and weight of the patient. The dosage varies from 1 to 500 mg per day in one or more administrations.

The following Examples illustrate the invention without limiting it in any way.

The starting materials used are products that are known or prepared according to known procedures.

The structures of the compounds described in the Examples were determined according to customary spectrophotometric techniques (infra-red, nuclear magnetic resonance, mass spectrometry).

#### **PREPARATION 1 : Tert-butyl 4-methoxy-2-methyl-1(2H)-pyridinecarboxylate**

37.81 mmol of ethyl chloroformate are added to a solution, cooled to -25°C, of 37.43 mmol of 4-methoxypyridine in 100 ml of anhydrous tetrahydrofuran under an argon atmosphere. After one hour's stirring at -25°C, 39.30 mmol of 3M methylmagnesium bromide are added dropwise. The reaction mixture is stirred for 30 minutes at -25°C and then for one hour at ambient temperature. 100 ml of water are then added and the aqueous phase is then extracted twice with diethyl ether, dried over magnesium sulphate, filtered and then concentrated under reduced pressure. The resulting oil is taken up in 100 ml of anhydrous

tetrahydrofuran, the solution is then cooled to  $-40^{\circ}\text{C}$ , and then 0.15 mmol of potassium tert-butoxide is added. The reaction mixture is stirred for 2 hours at  $-40^{\circ}\text{C}$  and for one hour at ambient temperature, and 100 ml of water are then added. The aqueous phase is extracted twice with diethyl ether and then the organic phase is dried over magnesium sulphate, filtered and concentrated under reduced pressure to give the expected product.

**PREPARATION 2 : Tert-butyl 6-iodo-2-methyl-4-oxo-3,4-dihydro-1(2H)-pyridine-carboxylate**

40.48 mmol of n-butyllithium are added to a solution, at  $-60^{\circ}\text{C}$ , of 33.73 mmol of the compound of Preparation 1 in 100 ml of anhydrous tetrahydrofuran under an argon atmosphere. Stirring is carried out for 30 minutes at  $-60^{\circ}\text{C}$ , and then 37.11 mmol of iodine are added. After stirring for 2 hours at  $-60^{\circ}\text{C}$  and then for one hour at ambient temperature, 100 ml of a 1N aqueous hydrochloric acid solution are added to the reaction mixture. The aqueous phase is extracted twice with diethyl ether, and the organic phase is dried over magnesium sulphate, filtered and concentrated under reduced pressure. Purification by chromatography on silica gel (diethyl ether/petroleum ether : 4/6) yields the expected product.

IR (KBr):  $\nu_{\text{C=O}} = 1668, 1722 \text{ cm}^{-1}$ .

**EXAMPLE 1 : Tert-butyl 2-methyl-4-oxo-6-(2-thienyl)-3,4-dihydro-1(2H)-pyridinecarboxylate**

There are introduced into a 100 ml flask 4.45 mmol of the compound of Preparation 2, 0.22 mmol of tetrakis(triphenylphosphine)palladium(0) and 20 ml of dimethoxyethane, then 5.34 mmol of thiophene-2-boronic acid and 11.12 mmol of sodium hydrogen carbonate dissolved in 20 ml of water. The reaction mixture is heated under reflux and with vigorous stirring for about 5 hours. After cooling, the aqueous phase is extracted twice with chloroform and the organic phase is dried over calcium chloride, filtered and concentrated

under reduced pressure. Purification by chromatography on silica gel (diethyl ether/petroleum ether : 4/6) yields the expected product.

Melting point: 90°C.

IR (KBr):  $\nu_{C=O} = 1659, 1718 \text{ cm}^{-1}$ .

5 Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	61.41	6.53	4.77
<i>found</i>	61.34	6.71	4.86

### **EXAMPLE 2 : 2-Methyl-6-(2-thienyl)-2,3-dihydro-4(1H)-pyridone**

10 2.73 mmol of the compound of Example 1, 10 ml of dichloromethane and 27.27 mmol of trifluoroacetic acid are mixed. The reaction mixture is stirred at ambient temperature for 4 hours and then rendered alkaline by the addition of a saturated aqueous potassium carbonate solution. The aqueous phase is extracted twice with dichloromethane, and the organic phases are combined and then dried over calcium chloride, filtered and concentrated under reduced pressure. Purification by chromatography on silica gel (ethyl acetate) yields the expected product.

15 Melting point: 155°C.

IR (KBr):  $\nu_{C=O} = 1605 \text{ cm}^{-1}$ ;  $\nu_{NH} = 3288 \text{ cm}^{-1}$ .

Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	62.15	5.74	7.24
<i>found</i>	62.34	5.62	7.02

**EXAMPLE 3 :**    **Tert-butyl 2-methyl-4-oxo-6-phenyl-3,4-dihydro-1(2H)-pyridine-carboxylate**

The expected product is obtained according to the process described in Example 1, using phenylboronic acid.

5    Melting point: 99°C.

IR (KBr):  $\nu_{C=O} = 1655, 1709 \text{ cm}^{-1}$ .

Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	71.06	7.37	4.87
<i>found</i>	70.92	7.51	4.71

**EXAMPLE 4 :**    **2-Methyl-6-phenyl-2,3-dihydro-4(1H)-pyridone**

10    The expected product is obtained according to the process described in Example 2, starting from the compound of Example 3.

Melting point: 161°C.

IR (KBr):  $\nu_{C=O} = 1605 \text{ cm}^{-1}$ ;  $\nu_{NH} = 3268 \text{ cm}^{-1}$ .

Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	76.98	7.00	7.48
<i>found</i>	77.21	7.06	7.22

15

**EXAMPLE 5 :**    **Tert-butyl 6-(3-chlorophenyl)-2-methyl-4-oxo-3,4-dihydro-1(2H)-pyridinecarboxylate**

The expected product is obtained according to the process described in Example 1, using 3-chlorobenzeneboronic acid.

Melting point: 101°C.

IR (KBr):  $\nu_{C=O} = 1674, 1714 \text{ cm}^{-1}$ .

Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	63.45	6.26	4.35
<i>found</i>	63.39	6.36	4.21

5 **EXAMPLE 6 : 6-(3-Chlorophenyl)-2-methyl-2,3-dihydro-4(1H)-pyridone**

The expected product is obtained according to the process described in Example 2, starting from the compound of Example 5.

Melting point: 133°C.

IR (KBr):  $\nu_{C=O} = 1605 \text{ cm}^{-1}$ ;  $\nu_{NH} = 3255 \text{ cm}^{-1}$ .

10 Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	65.02	5.46	6.32
<i>found</i>	65.15	5.59	6.13

**EXAMPLE 7 : Tert-butyl 2-methyl-4-oxo-6-(6-chloro-3-pyridyl)-3,4-dihydro-1(2H)-pyridinecarboxylate**

The expected product is obtained according to the process described in Example 1, using 6-chloropyridine-3-boronic acid.

15 Melting point: 115°C.

IR (KBr):  $\nu_{C=O} = 1660, 1711 \text{ cm}^{-1}$ .

Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	59.54	5.93	8.68
<i>found</i>	59.75	5.88	8.42

**EXAMPLE 8 : 6-(6-Chloro-3-pyridyl)-2-methyl-2,3-dihydro-4(1H)-pyridone**

The expected product is obtained according to the process described in Example 2, starting from the compound of Example 7.

5 Melting point: 216°C.

IR (KBr):  $\nu_{C=O} = 1613\text{ cm}^{-1}$ ;  $\nu_{NH} = 3256\text{ cm}^{-1}$ .

Elemental microanalysis:

	% C	% H	% N
<i>calculated</i>	59.33	4.98	12.58
<i>found</i>	59.19	5.08	12.39

**PHARMACOLOGICAL STUDY OF COMPOUNDS OF THE INVENTION**

10 **EXAMPLE 9 : Body temperature in the NMRI mouse**

The effects of the compounds of the present invention on body temperature were assessed in the adult male NMRI mouse. The rectal temperature of the mice (18-20 g) was measured just before pharmacological treatment (intraperitoneal route) with the compounds being studied or their carriers (20 mg/kg). The mice were then placed in individual cages  
 15 (10 x 10 x 10 cm) and their rectal temperature was measured every 30 minutes during the 2 hours following treatment. The values were the means (°C) plus or minus the standard errors of the means, and inter-group comparisons were carried out by a single-factor variance analysis test followed, where appropriate, by a Dunnett test.

The results show that the compounds of the invention do not have hypothermic activity at  
 20 doses up to 20 mg/kg.

**EXAMPLE 10 : Abdominal contractions induced by phenyl-p-benzoquinone (PBQ) in the NMRI mouse**

Intraperitoneal administration of an alcoholic solution of PBQ causes abdominal cramps in the mouse (SIEGMUND *et al.*, Proc. Soc. Exp. Biol., 1957, 95, 729-731). The cramps are characterised by repeated contractions of the abdominal musculature, accompanied by extension of the hind limbs. Most analgesics antagonise these abdominal cramps (COLLIER *et al.*, Brit. J. Pharmacol. Chem., 1968, 32, 295-310). At t=0 min., the animals are weighed and the compound being studied is administered by the IP route. A group of control animals is given the solvent used for the compound. At t=30 min., an alcoholic solution of PBQ (0.2 %) is administered by the IP route in a volume of 0.25 ml/mouse. Immediately after administration of the PBQ, the animals are placed in cylinders of plexiglass (L=19.5 cm; I.D.=5 cm). From t=35 min. to t=45 min., the animals' reaction is observed and the experimenter notes the total number of abdominal cramps per animal. The table below shows the percentage inhibition of the number of abdominal cramps measured in the control animals, at the active dose of the compound studied.

The results obtained show that the compounds of the invention possess antalgic properties.

Example	Dose (mg/kg)	Inhibition (%)
2	20	48%
3	20	59%
6	20	48%

**EXAMPLE 11: Social recognition in the Wistar rat**

Initially described in 1982 by THOR and HOLLOWAY (J. Comp. Physiol., 1982, 96, 1000-1006), the social recognition test has subsequently been proposed by various authors (DANTZER *et al.*, Psychopharmacology, 1987, 91, 363-368; PERIO *et al.*, Psychopharmacology, 1989, 97, 262-268) for studying the mnemocognitive effects of new compounds. The test is based on the natural expression of the olfactory memory of the rat

and its natural tendency to forget and allows evaluation of memorisation, by recognition of a young congeneric animal, by an adult rat. A young rat (21 days), taken at random, is placed for 5 minutes in the cage housing an adult rat. With the aid of a video device, the experimenter observes the social recognition behaviour of the adult rat and measures its overall duration. The young rat is then removed from the adult rat's cage and is placed in its own cage until the second introduction. The adult rat is then given the compound under test (intraperitoneal route) and, after 2 hours, is again brought into the presence (5 minutes) of the young rat. The social recognition behaviour is then observed again and its duration measured. The table below shows the difference ( $T_2 - T_1$ ), expressed in seconds, between the "recognition" times of the 2 encounters.

The results obtained show that the compounds of the invention very greatly enhance memorisation, even at a low dose.

Example	Dose (mg/kg)	$T_2 - T_1$ (s) $\pm$ sem
6	3	$-21.4 \pm 5.1$
3	3	$-25.3 \pm 7.1$
1	3	$-17.4 \pm 2.5$
8	3	$-17.2 \pm 4.6$

#### **EXAMPLE 12 : Pharmaceutical composition**

Formulation for the preparation of 1000 tablets each comprising 10 mg of active ingredient:

Compound of Example 1 .....	10 g
Hydroxypropylcellulose.....	2 g
Wheat starch .....	10 g
Lactose .....	100 g
Magnesium stearate .....	3 g
Talc .....	3 g